



Role Profile

Trainee Investigator, Enforcement

About us

We supervise the island's financial services industry for compliance with both conduct and prudential requirements, and under the anti-money laundering (AML), countering financing of terrorism (CFT), and countering proliferation financing (CPF) framework.

The JFSC is one of the few regulators globally to benefit from the Regulator and the entity Registrar operating under a single financial services commission.

Division: Enforcement

Enforcement investigates and, where appropriate, recommends and takes action against firms and individuals that do not comply with Jersey's regulatory framework. Enforcement supports the work of the JFSC by protecting and enhancing the reputation of Jersey as a safe and well-regulated international financial service centre.

Enforcement works with a range of internal and external stakeholders that include:

Internal stakeholders: Intelligence Unit, Companies Registry, Supervision Division, Legal and Policy divisions, Executive Directors and the JFSC's Board of Commissioners.

External stakeholders: Regulated and supervised firms and individuals, members of the public, States of Jersey Police, Law Officer's Department, overseas regulators and the Government of Jersey.

Role: Trainee Investigator (Permanent/Full-time)

You will assist Enforcement Investigators in conducting enquiries and investigations of suspected serious misconduct, principally through gathering and analysing relevant information, taking part in internal and external meetings, conducting interviews, and reaching recommendations as to next steps. In time, you will develop your skills, experience and confidence to become responsible for your own caseload.

The candidate will **deliver the following outcomes:**

- Support in the scoping of Enforcement case strategies, ensuring they are reasonable and proportionate.
- Execution and conclusion of Enforcement cases and investigations in a timely, efficient, and proportionate manner.

- Preparation and delivery of accurate, fair, balanced, and transparent communications with internal and external stakeholders.
- Provide clear and appropriate information to support the decision-making of the JFSC's Executive and Board of Commissioners.
- Ensure timely cooperation and exchange of information with internal and external stakeholders to facilitate informed decision-making.
- Support in publishing guidance and lessons learned to raise awareness and standards among regulated firms, fostering compliance with the JFSC's regulatory expectations.
- Assist in developing and disseminating guidance and educational materials to the public, supporting the JFSC's efforts to educate and inform.

You will add value to the organisation by:

Progressing Enforcement cases in an efficient manner and to a fair, reasonable and proportionate outcome that supports the delivery of Enforcement strategic objectives. This, in turn, supports the JFSC in demonstrating it is effectively delivering its statutory regulatory objectives or guiding principles and its strategic goals.

What will success in this role look like?

- Providing effective support in the execution and conclusion of Enforcement cases.
- Meeting deadlines for the completion of tasks and successfully balancing competing priorities.
- Demonstrating strong planning and organisational abilities.
- Displaying strong interpersonal, communication and problem-solving skills, with a 'can do' attitude.
- Providing solutions to problems or perceived limitation.

Are you suitable for this role?

This role would suit an individual who is:

- Inquisitive and possesses an enquiring mindset and a keen eye for detail.
- Committed to acquiring the necessary skills and knowledge required to develop their skillset and progress to Investigator.
- Equally happy working collaboratively as part of a team as well as independently.
- Ideally, but not essential, some experience or knowledge of financial services or a regulatory or a compliance environment.

The skills and attributes of most importance in this role are:

- Strong communication skills.
- Ability to analyse information.
- Exercise of sound and reasoned judgement.
- High level of drive, energy and personal commitment.



www.careers.jerseyfsc.org



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PO BOX 267, 14-18 Castle Street,
St Helier, Jersey JE4 8TP

To apply for any vacancies at the JFSC, submit an application form along with your CV to careers@jerseyfsc.org

Why us?

Working in the JFSC will enable you to join a team people who have purpose, passion, and pride. It offers the opportunity to work alongside people, who are passionate about maintaining Jersey's position as a leading International Finance Centre with high regulatory standards.

This clarity on our purpose is incredibly motivating and we leverage our core values of: Excellence, Professionalism, Respect, Integrity, and Teamwork to deliver our work. Our people are proud of their work and their contribution to Jersey.

If you are looking to make a difference, have a unique working experience and contribute to a bigger picture, then think JFSC; a diverse team of people who put their hearts and minds into what they do and have some fun along the way.



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