



Role Profile

Senior Examiner, Supervision Examination Unit

About us

We supervise the island's financial services industry for compliance with both conduct and prudential requirements, and under the anti-money laundering (AML), countering financing of terrorism (CFT), and countering proliferation financing (CPF) framework.

The JFSC is one of the few regulators globally to benefit from the regulator and the entity registrar operating under a single financial services commission.

Division: Supervision

The Supervision division's primary function is to oversee the financial services industry to ensure relevant legal obligations and regulatory requirements are met. As part of this we continually assess whether existing or emerging risks, to which Jersey is exposed, are being effectively managed.

Team: Supervision Examination Unit

The Supervision Examination Unit is one of two teams within the JFSC's Examination Unit. It is responsible for undertaking thematic and supervisory risk examinations.

The team is dedicated to reviewing compliance with all legal and regulatory obligations such as those arising under the relevant sector Codes of Practice as well as those arising under the Money Laundering (Jersey) Order 2008 and the AML/CFT/CPF Codes of Practice.

In addition to coordinating and delivering examinations, the team is also responsible for publishing feedback on the findings identified and highlighting areas of good practice.

Role: Permanent/full-time

You will be responsible for reviewing and assessing the systems and controls used by a variety of regulated and supervised businesses to mitigate regulatory and financial crime risks. You will be exploring various types of compliance and/or financial crime risk to which a business could be exposed, taking account of its commercial activities, its customers, its products, and services and how it delivers them domestically and internationally. You will also be responsible for providing support to new and existing team members in the effective delivery of the thematic examination programme and supervisory risk exams, including acting as a point of escalation for examiners.

The successful candidate will join the JFSC at a key stage in its development, as it seeks to play an enhanced role in the continued success of Jersey as a highly regarded international finance centre. The candidate will **deliver the following outcomes:**

- › Leading effective onsite thematic and supervisory risk examinations, including preparation for and participation in employee and board member interviews, customer file reviews, control assessments, technology reviews and post-examination follow-up with examined businesses.
- › Accurate, concise, and well-articulated feedback and reporting (verbal and written) of examination findings delivered to business representatives at a senior level.
- › Execution and delivery of examination work within agreed timelines.
- › Actively support the senior manager with the ongoing development and enhancement of examination activities, including the development and coaching of new and existing examination team members to ensure a consistently high standard of output and robust knowledge sharing.
- › Line management responsibility for examiners and trainee examiners
- › Actively support the effective delivery of JFSC projects to support the continuous improvement of our operational systems and controls.

You will add value to the organisation by:

- › Contributing to the JFSC's mission to maintain Jersey's position as a leading international finance centre with high regulatory standards supporting its role in combatting financial crime as part of the wider international effort.
- › Leading the team's collaboration internally and externally to continuously share knowledge and insights from the examinations.
- › Supporting the JFSC in expanding its understanding of current and emerging regulatory risk which will include conduct risk, emerging financial crime risks, targeted sanctions, and bribery and corruption risks and the measures needed to detect and manage them.



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St Helier, Jersey JE4 8TP

To apply for any vacancies at the JFSC, submit an application form along with your CV to careers@jerseyfsc.org

What will success in this role look like?

- › Successful execution of thematic and supervisory risk examinations to assess compliance with the legal and regulatory framework.
- › Accurate and pragmatic identification and analysis of emerging risks and typologies.
- › Playing a leading role in the provision of industry feedback and guidance on regulatory risk matters.
- › Providing valued support and coaching to new and existing examination team members.
- › Execution of line management responsibilities in relation to performance management for direct reports.

Are you suitable for this role?

This role would suit an individual with a strong and proven background in financial services, with a good understanding of Jersey's financial services legislation and regulation including the application of relevant controls. Having a well-developed understanding of Jersey and International regulatory standards is a key requisite for the role.

Experience of working for a regulator is not essential, however, experience as a Key Person, or having held a senior role within a compliance function, would be desirable.

Strong interpersonal and communication skills are essential as you will be required to communicate with a variety of stakeholders.

Motivation for continuous learning and development, a flexible approach, and an aptitude for responding positively when dealing with challenges are required.

Why us?

Working in the JFSC will enable you to join a team of people who have purpose, passion, and pride. It offers the opportunity to work alongside people, who are passionate about maintaining Jersey's position as a leading international finance centre with high regulatory standards.

This clarity on our purpose is incredibly motivating and we leverage our core values of: excellence, professionalism, respect, integrity, and teamwork to deliver our work. Our people are proud of their work and their contribution to Jersey.

If you are looking to make a difference, have a unique working experience and contribute to a bigger picture, then think JFSC; a diverse team of people who put their hearts and minds into what they do and have some fun along the way!



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